



2651
Gp/2753
#4
PATENT
450100-02469

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Hiroaki YUKAWA
Serial No. : 09/592,013
Filed : June 12, 2000
For : OPTICAL HEAD, OPTICAL RECORDING AND/OR
REPRODUCING APPARATUS AND INTEGRATED OPTICAL
MODULE
Art Unit : 2753

2/7/02
RECEIVED
FEB 01 2002
Technology Center 2600

745 Fifth Avenue
New York, New York 10151
Tel. (212) 588-0800

I hereby certify that this correspondence
is being deposited with the United States
Postal Service as first class mail in an
envelope addressed to:
Assistant Commissioner for Patents
Washington, D.C. 20231, on January 18, 2002

Gordon Kessler, Reg. No. 38,511

Name of Applicant, Assignee or
Registered Representative

Gordon Kessler
Signature

January 18, 2002
Date of Signature

INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. 1.97(b)

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

In compliance with the duty of disclosure set forth in
37 C.F.R. 1.56, applicant is filing this Information Disclosure
Statement and the accompanying form PTO-1449 along with copies of
the prior art therein identified. Such prior art has come to the
attention of applicant by being cited in a Search Report issued

in the corresponding European application on December 12, 2001.
So far as applicant is aware, this Information Disclosure
Statement is being filed before the mailing date of the first
Office Action on the merits.

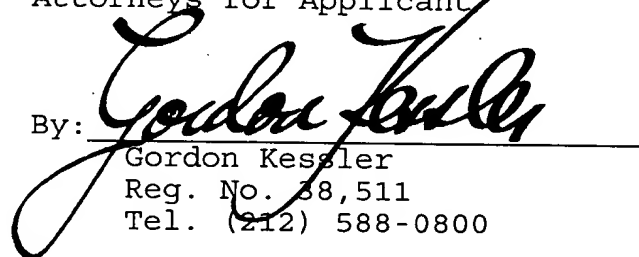
The filing of this Information Disclosure Statement is
not an admission that the documents identified herein constitute
prior art to the present application.

Please charge any fees incurred by the filing of this
document or credit any overpayment to Deposit Account No. 50-
0320.

Respectfully submitted,

FROMMER LAWRENCE & HAUG LLP
Attorneys for Applicant

By:


Gordon Kessler
Reg. No. 38,511
Tel. (212) 588-0800